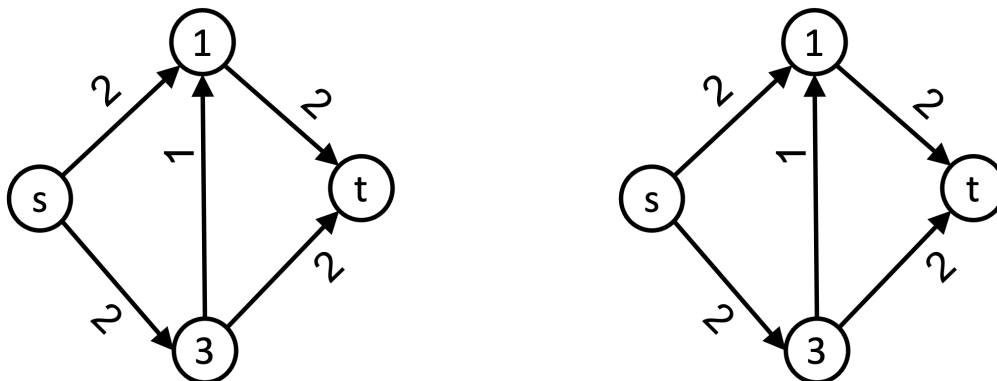


**Course Logistics**

- Homework 1 has been posted, due on Tuesday, Sept 13 at 11:59pm
- Intro video assignment posted
- Can now access recorded lectures via Canvas, course materials through [veldt.engr.tamu.edu/689-fall22](http://veldt.engr.tamu.edu/689-fall22)

**1 Finding maximum  $s$ - $t$  flow**

**Recap of our first idea.** Repeatedly find paths from  $s$  to  $t$ , and keep adding flow until there are no more  $s$ - $t$  paths.



How do we correct this? Let's try to keep track of flow that we could "undo".

**2 The Residual Graph**

Given a flow  $f$ , for a pair of nodes  $(u, v) \in V \times V$ , the *residual capacity* for  $(u, v)$  is

$$c_f(u, v) = c(u, v) - f(u, v) + f(v, u)$$

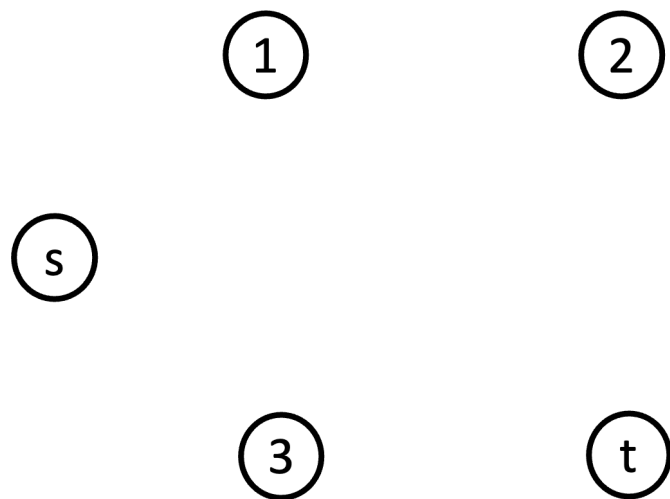
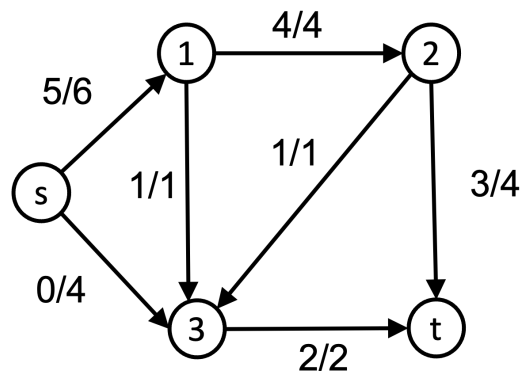
Informally, this is the amount of "space" left on the edge  $c(u, v)$ , plus the amount of flow from  $v$  to  $u$  that we could "undo".

Given a flow  $f$  for a graph  $G = (V, E, w)$ , the *residual graph*  $G_f = (V, E_f)$  is the graph where the edge set

$$E_f = \{(u, v) \in V \times V : c_f(u, v) > 0\}$$

This graph shows us where we can send more flow to improve on the flow  $f$ .

**Activity:** draw the residual graph for the following flow



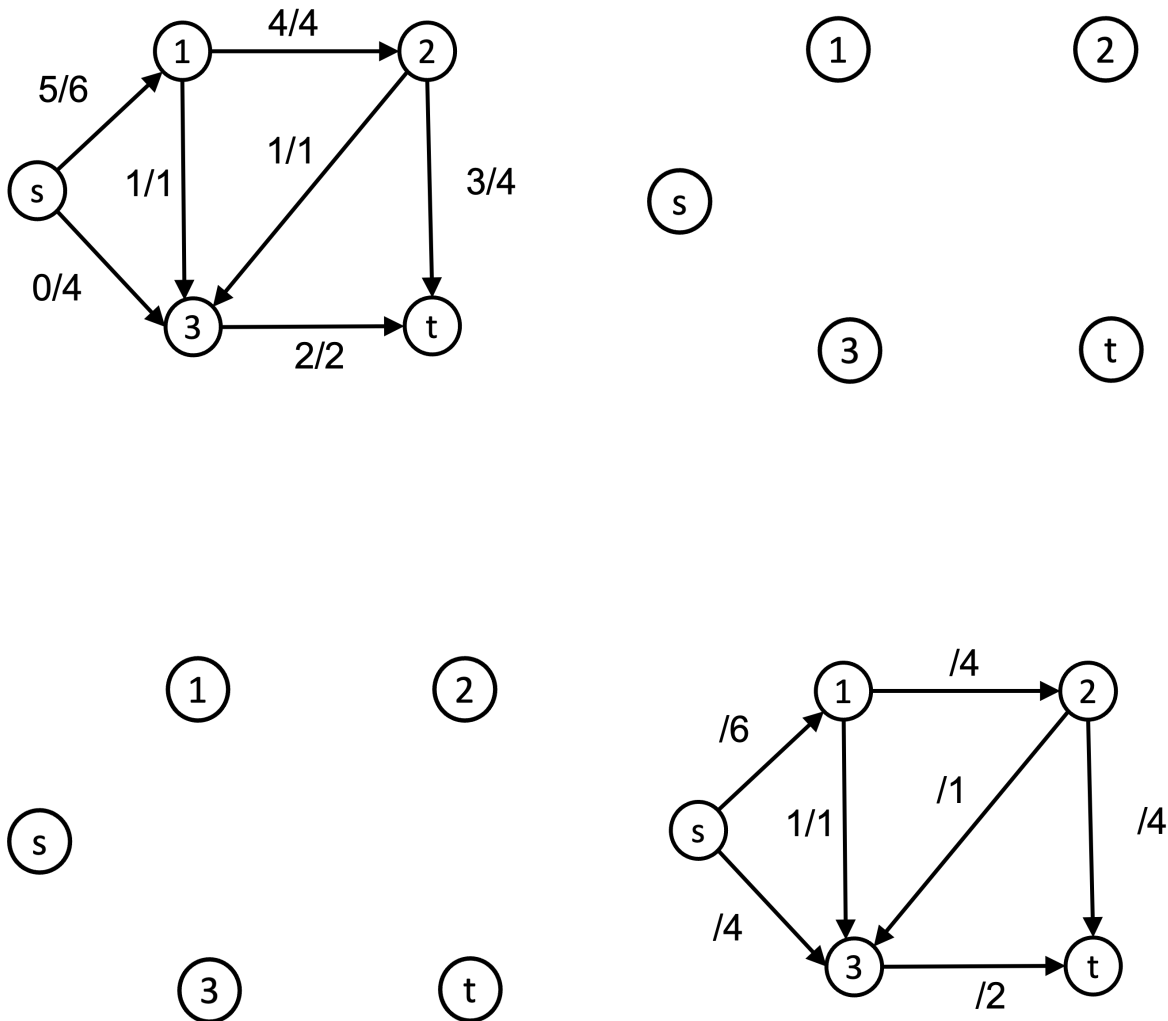
### 3 Augmenting Flows and Paths

Let  $f$  be an  $s$ - $t$  flow in  $G = (V, E)$  and  $f'$  be a flow in the residual graph  $G_f = (V, E_f)$ . Then we define the *augmentation* of  $f$  by  $f'$  as:

$$f \uparrow f' = f(u, v) + f'(u, v) - f'(v, u) \quad (1)$$

**Lemma 1.** *The function  $f \uparrow f'$  is a valid flow in  $G$ , and it has flow value  $|f| + |f'|$ .*

Proof: a whole bunch of bookkeeping. We will skip this. But we can illustrate it below.



An *augmenting path*  $p$  is a simple path (simple = no cycles) from  $s$  to  $t$  in the residual network  $G_f$ .

The *residual capacity* of this path  $p$  is the maximum amount we can send on  $p$ :

$$c_f(p) = \min\{c_f(u, v) : (u, v) \text{ is in } p\}$$

Sending  $c_f(p)$  flow along every edge in this path gives us a flow  $f_p$  in  $G_f$  that we can add to  $f$  to improve it.

**Theorem 2.** (*Max-flow Min-cut Theorem*) Let  $f$  be an  $s$ - $t$  flow on some graph  $G = (V, E)$ . The following three conditions are equivalent:

1.  $f$  is a maximum  $s$ - $t$  flow
2. There are no augmenting paths in the residual graph  $G_f$
3.  $|f| = \mathbf{cut}(S)$  for some  $s$ - $t$  cut set in  $G$



## 4 The Basic Ford-Fulkerson Algorithm

Idea:  $f$  is a max-flow if and only if there are no augmenting paths. So let's just keep finding augmenting paths until we're done!

The Ford-Fulkerson algorithm will always maintain the invariant that for any pair  $(u, v)$ , at most one of  $\{f(u, v), f(v, u)\}$  will be greater than zero.

---

FORDFULKERSONBASIC( $G, s, t$ )

**for**  $(u, v) \in E$  **do**
$$f(u, v) = 0$$
**while** there exists an  $s$ - $t$  path  $p$  in  $G_f$  **do**
$$c_f(p) = \min\{c_f(u, v) : (u, v) \text{ is in } p\}$$
**for**  $(u, v) \in p$  **do**
$$m = \min\{c_f(p), f(v, u)\}$$

```
// flow to “undo”
```

$$\ell = c_f(p) - m$$

```
// new flow to send along (u, v)
```

$$f(v, u) \leftarrow f(v, u) - m$$
$$f(u, v) \leftarrow f(u, v) + \ell$$

For  $(u, v) \in p$ , we first use any of the flow  $c_f(p)$  to undo flow previously sent on  $(v, u)$ .

Then, if any of  $c_f(p)$  remains, we send it along  $(u, v)$ .

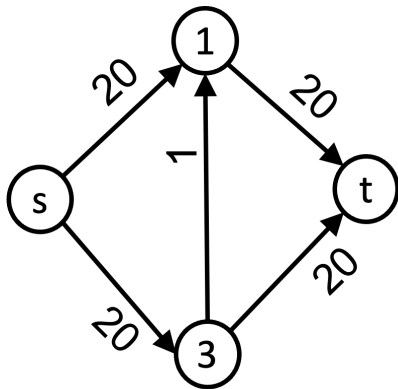
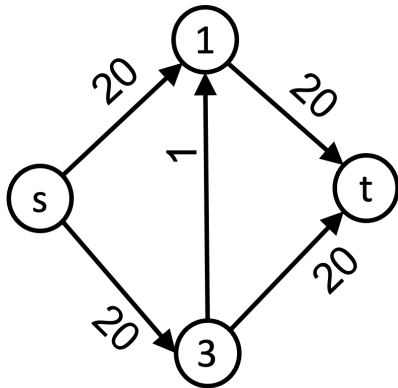
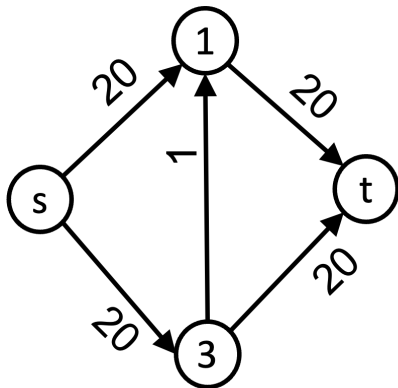
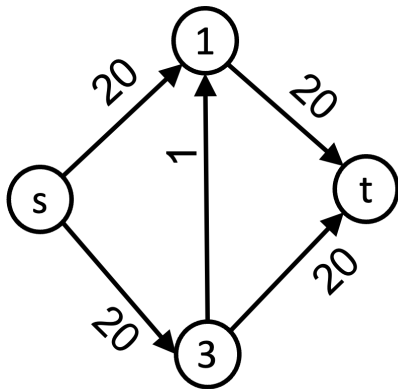
## 5 Runtime Analysis

- $f^*$  is the maximum flow and  $|f^*|$  the maximum flow value
- Assume all weights are integers.
- Let  $f$  be the flow we are growing as the algorithm progresses.

We need to answer the following questions:

1. What is the runtime complexity for finding an  $s$ - $t$  path  $p$  in  $G_f$ ?
2. What is the minimum amount by which we can increase  $f$  in each iteration?
3. What is the maximum number of paths we might have to find before we are done?
4. What is an overall runtime bound for FORDFULKERSONBASIC?

6 How bad can the runtime be in practice?





## 7 The Edmonds-Karp Algorithm

The Edmonds-Karp Algorithm is a variation on Ford-Fulkerson that chooses an augmenting path  $p$  by finding the directed path from  $s$  to  $t$  with the smallest number of edges. This is accomplished using a:

### 7.1 Shortest path distances increases monotonically

Let  $f$  be an  $s$ - $t$  flow for input  $G = (V, E, s, t)$  and  $G_f$  be the residual graph. Define

$$\delta_f(s, v) = \text{the shortest unweighted path distance from } s \text{ to } v \text{ in } G_f$$

**Lemma 3.** *For every  $v \in V$ , the distance  $\delta_f(s, v)$  increases monotonically with each flow augmentation.*

Translation: as we keep finding augmenting paths  $p$  and sending more flow  $f_p$  to  $f$ , the distance between  $s$  and every node either stays the same, or increases.

**Theorem 4.** *The total number of flow augmentation steps performed by Edmonds-Karp is  $O(VE)$ .*

*Proof.*     • Let  $p$  be an augmenting path in  $G_f$ .

- An edge  $(u, v) \in p$  is *critical* if  $c_f(p) = c_f(u, v)$ , meaning it is the smallest capacity edge in that path.
- When we push  $c_f(p)$  flow through  $p$ , the edge  $(u, v)$  disappears from  $G_f$
- At least one edge on each path  $p$  is critical.
- Claim: Each of the  $|E|$  edges can be critical at most  $|V|/2$  times.

**Proving the claim:**  $(u,v)$  becomes critical at most  $|V|/2$  times.

- Let  $u$  and  $v$  be nodes in some edge in  $E$ .
- When  $(u, v)$  is critical for the first time,  $\delta_f(s, v) = \delta_f(s, u) + 1$

Why?

- Then  $(u, v)$  disappears from the residual graph, and can only re-appear after  $(v, u)$  is on some future augmenting path. Say that  $(v, u)$  is on an augmenting path when the new flow on  $G$  is  $f'$ , then

$$\delta_{f'}(s, u) =$$

- We know that  $\delta_f(s, v) \leq \delta_{f'}(s, v)$
- So we have

$$\delta_{f'}(s, u) =$$

- From the first to the second time  $(u, v)$  becomes critical, the distance from  $s$  to  $u$  increases by at least 2.
- If  $(u, v)$  becomes critical more than  $|V|/2$  times, then the distance from  $s$  to  $u$  would be greater than  $|V| - 2$ .

- Thus,  $(u, v)$  becomes critical at most  $|V|/2 = O(V)$  times.

□